

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: RAMKUMAR, Krishnaswamy

Serial No.: 10/054,515

Filed: October 22, 2001

Title: Method of Manufacturing a Top
Insulating Layer for a SONOS-Type
Device

Attorney Docket No.: CY-0025

Group Art Unit: 2829

Examiner: Kilday, L.

#11
#103
SDavis
2/13/04

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; or before the mailing of a first office action after the filing of a request for continued examination)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Certification under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
- (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Certification under 37 CFR 1.97(e), and
 - ☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
- (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)


☒ Applicant(s) submit herewith an Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Bradley T. Sako
Attorney/Agent for Applicant(s)
Reg. No. 37,923

Date: NOVEMBER 12, 2003

Telephone No.: 1-408-289-5315

37 CFR §1.8

I hereby certify that this correspondence is being

☐ transmitted via facsimile to the United States Patent and Trademark Office to

fax number: _____

Date of Transmittal: _____

☒ deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: 11/12/2003

Typed/Printed Name: Bradley T. Sako

Signature:  _____



INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
				Application Number	10/054,515
				Filing Date	October 22, 2003
				First Named Inventor	Ramkumar, Krishnaswamy
				Group Art Unit	2829
				Examiner Name	Kilday, L.
Sheet	1	of	1	Attorney Docket Number	CY-0025

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,410,456 B1		Gronet et al.	06-25-2002	
		6,037,273		Gronet et al.	03-14-2000	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Office	Number		Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature		Date Considered	
-----------------------	--	-----------------	--

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.